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 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)  
 01(GEN)

Applicant, Patentee, or Identifier: Omkarnath K. Gupta

Application or Patent No.: Not Available

Filed or Issued: Concurrently Herewith

Title: Circ For Cellular Phone

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.

- ☒ Each such person, concern, or organization is listed below

Genesis Electronics, LLC

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Omkarnath K. Gupta

NAME OF INVENTOR

[Signature]  
 Signature of inventor

November 19, 2001

Date

NAME OF INVENTOR

Signature of inventor

Date

NAME OF INVENTOR

Signature of inventor

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**COMBINED DECLARATION AND POWER OF ATTORNEY**

Attorney's Docket No.: 01GEN1

**PATENT**

As a below-named inventor, I HEREBY DECLARE THAT:

This Declaration is for the following type application: ☒ ORIGINAL; ☐ DESIGN; ☐ CONTINUATION;  
☐ DIVISIONAL; ☐ CIP; ☐ National Stage of PCT; ☐ SUPPLEMENTAL.

(see attached two pages if SUPPLEMENTAL is indicated)

My residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Case For Cellular Phone**, the specification of which (check one): ☒ is attached hereto; \_\_\_ was filed on \_\_\_ as Application Serial No. \_\_\_ and was amended on (or amended through \_\_\_ if applicable); \_\_\_ was described and claimed in PCT International Application No. \_\_\_ filed on \_\_\_ and as amended under PCT Article 19 on \_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the specification, claims, and any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56 (a).

☒ In compliance with this duty there is attached an Information Disclosure Statement 37CFR1.97 (37CFR156 (a)).

I hereby claim Foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) designating at least one country other than the United States of America or filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

☒ No such applications have been filed;

☐ such applications have been filed as follows  
(see attached page)

Prior Foreign Application(s)

Priority Claimed

Country:	Number:	Month/Day	Year Filed:	Yes	No
Country:	Number:	Year Filed:	Yes	No	
Country:	Number:	Year Filed:	Yes	No	

**POWER OF ATTORNEY**

I hereby appoint Michael G. Petit, Registration No. 30, 795, and John Hoel, Registration No. 26,279 as my attorney with full powers of substitution and revocation, to prosecute his application and transact all business in the Patent and Trademark Office connected herewith.


Send correspondence to:  
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Santa Barbara, CA 93190-1929

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of

Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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State or Country California	State or Country California
Date November 19, 2001	Signature 

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